Naturalistic and Experimental Analyses of Word Frequency and Neighborhood Density Effects in Slips of the Ear*

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Key words
Mondegreens
neighborhood density
speech errors

Abstract
A comparison of the lexical characteristics of 88 auditory misperceptions (i.e., slips of the ear) showed no difference in word-frequency, neighborhood density, and neighborhood frequency between the actual and the perceived utterances. Another comparison of slip of the ear tokens (i.e., actual and perceived utterances) and words in general (i.e., randomly selected from the lexicon) showed that slip of the ear tokens had denser neighborhoods and higher neighborhood frequency than words in general, as predicted from laboratory studies. Contrary to prediction, slip of the ear tokens were higher in frequency of occurrence than words in general. Additional laboratory-based investigations examined the possible source of the contradictory word frequency finding, highlighting the importance of using naturalistic and experimental data to develop models of spoken language processing.

1 Introduction

Naturalistic speech error corpora (Cutler, 1982; Fromkin, 1980; MacKay, 1972) and laboratory-based production tasks — such as SLIP (Baars, Motley, & MacKay, 1975), tongue twisters (Shattuck-Hufnagel & Klatt, 1979), and picture naming (Oldfield & Wingfield, 1965) — have provided complementary pieces of information about the processes and representations involved in the rapid and fluent production of speech. For example, Vitevitch (1997) analyzed a published speech error corpus and found evidence to suggest that neighborhood density (i.e., the number of words that sound similar to a

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target word) may influence speech production as well as speech perception (Luce & Pisoni, 1998). Several experimental techniques, including the tip-of-the-tongue elicitation task, tongue twisters, and picture naming (Vitevitch, 2002a; see also Harley & Bown, 1998; Vitevitch & Sommers, in press) further demonstrated that neighborhood density influences the speed and accuracy of speech production.

In contrast to the use of naturalistic and laboratory-based techniques in studies of speech production, research on speech perception has relied primarily on laboratory methods—employing tasks such as perceptual identification, lexical decision, shadowing, gating, AX-matching, phoneme monitoring, and word spotting, to name but a few—to develop models of spoken word recognition. Compared to research in speech production, there is a paucity of systematic analyses of naturally collected speech perception errors (for exceptions see e.g., Bond & Garnes, 1980; Bond & Robey, 1983; Garnes & Bond, 1980). The small number of such analyses in the area of speech perception may be due to the intensive commitment of time and other resources that is required to compile a corpus of sufficient size so that statistically significant differences in the data can be observed. However, the publication of a relatively large corpus of “slips of the ear” (Bond, 1999) may provide a readily available means for researchers to reinforce the conclusions of laboratory-based behavior with ecologically valid data from more naturalistic settings (Stemberger, 1992).

1.1
What is a “slip of the ear”?

Slips of the ear are misperceptions of an intended speech signal (Bond, 1999). That is, “[a] listener reports hearing, as clearly and distinctly as any correctly perceived stretch of speech, something that does not correspond to the speaker’s actual utterance.” (Bond, 1999; p. 1). Slips of the ear should not be confused with slips of the tongue in which the speaker intends to utter one thing, but erroneously produces another. Speech production errors, for example, may result in phonological segments being misordered, such as saying “darn bone” while intending to say “barn door,” in the substitution of whole words, or malapropisms, such as saying “monotonous” for “monogamous” (Fay & Cutler, 1977; Vitevitch, 1997), or several other types of blends, reversals, or errors made by the speaker (e.g., Bock, 1996). In slips of the ear, the utterance is produced correctly (i.e., as intended), but an error is made by the perceiver.

Slips of the ear are also referred to as mondegreens. The term mondegreen was coined by Sylvia Wright when she confessed in a column written in the Atlantic in 1954 that she had heard the lyric “Oh, they have slain the Earl o’ Moray and laid him on the green” from the Scottish folk song “The Bonny Earl of Morray” as “Oh, they have slain the Earl o’ Moray and Lady Mondegreen.” Typing the word ‘mondegreen’ into an internet search engine will provide the reader with a long list of web sites that contain collections of misheard musical lyrics (see also Carroll, 2002; Edwards, 1998). Some well-known examples of mondegreens include hearing “the ants are my friends” instead of “the answer my friends” (Dylan, 1963) and “excuse me, while I kiss this guy” instead of “excuse me, while I kiss the sky” (Hendrix, 1967).

Collections of musical mondegreens can provide the reader with hours of amusement, however, the reliability of such collections for scientific analysis is questionable.

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That is, some contributions may be intentional distortions of lyrics generated for maximal comic effect. Furthermore, it is unclear whether other factors in a song, such as the musical instruments in the background or the temporal characteristics of the music, influence perception in some way. For example, the sounds of certain instruments may mask certain auditory frequencies relevant for speech perception, or the rhythm of a song may induce an unnatural word segmentation strategy (Cutler & Carter, 1987) which could systematically affect the perception of certain phonemes or words. Fortunately, the corpus found in Bond (1999) contains errors in perception that were collected from conversational speech rather than musical lyrics (and with more scientific rigor; see Chap. 1 of Bond, 1999).

1.2 Why are Slips of the Ear important?

Stemberger (1992; pg. 211) stated in a comparison of naturalistic and experimentally induced speech production errors that “... naturalistic data show that the experimental data are ecologically valid, that the results are not due to task-specific strategies, and that the experimental... techniques constitute a reasonable facsimile of normal language processing.” Although naturalistic and experimental data have been used to study speech production, theories of speech perception have been developed primarily from data collected in laboratory situations. Typically, experimental investigations of spoken language employ stimuli that are carefully selected and balanced across several variables, recorded with pristine sound quality, and presented to participants under conditions that minimize extraneous noise. Furthermore, listeners in the laboratory participate in rather peculiar tasks, such as the lexical decision task. In the lexical decision task a listener must decide if the sequence of phonological segments heard over a set of headphones was a real word or a nonsense word. Deciding whether you heard a real word or nonsense word differs greatly from what one typically does with spoken input, namely interpret the idea or intention that was conveyed auditorily by an interlocutor.

Although naturalistically collected data has inherent ecological validity, the constraints and limitations of human memory and perception often raise concerns regarding the reliability of error corpora. For example, was the “error” that was recorded a misproduction or a misperception? Are certain types of errors more likely to be detected or remembered than other types of errors? Several studies comparing naturalistic error corpora and controlled laboratory tasks involving the elicitation or detection of errors suggest that naturalistically collected language data can indeed be quite reliable. In an examination of speech production errors, Stemberger (1992) found similar types of errors in naturally collected corpora and experimentally elicited speech errors. In speech perception, Voss (1984) found that listeners reported the same kinds of errors for casual conversation that they did for controlled laboratory materials, further increasing our confidence in the reliability and value of naturalistic error corpora.

Despite the artificiality of laboratory tasks and the limitations (and the limited number) of corpora of naturalistic errors, these two methodologies can be used together to provide complementary and converging evidence for factors that affect the recognition of spoken words. For example, the work of Cutler and Norris (1988; see also Cutler & Carter, 1987) suggested that syllable stress is an important cue used to segment words.
from continuous speech. In English, a strong syllable (one containing a full vowel) is more likely to be the initial syllable of a lexical item or word. Weak syllables (syllables containing central or reduced vowels) are less likely to be word initial syllables, or if they are word-initial syllables, they are grammatical words such as the or of. Cutler and Butterfield (1992) found in a collection of naturalistic misperceptions and in laboratory induced misperceptions that listeners erroneously inserted word boundaries before strong syllables to produce lexical words, and before weak syllables to produce grammatical words. The results of Cutler and Butterfield (1992) show a nice correspondence between the results collected through naturalistic observation and through an experimental task to demonstrate that syllable stress is an important factor in the segmentation of words from continuous speech.

Naturalistic observations and experimental data also suggest that the initial part of a word is important for the correct identification and recognition of spoken words. In a laboratory setting, Grosjean (1980; see also Marslen-Wilson, & Zwitserlood, 1989) presented listeners with fragments of words (starting with the initial portion of the word) that gradually increased in size; a task commonly referred to as the gating task. Grosjean (1980) found that listeners proposed candidate words and were also able to correctly identify the word portions, even though they had not heard the entire word, suggesting that the initial portion of a word may play an important role in generating lexical candidates during spoken word recognition. (See Vitevitch, 2002b, for the influence of the initial phoneme on the speed of word recognition.)

Complimentarily, Bond (1999, p. 59) found in her collection of slips of the ear that consonant misperceptions tended to occur in the initial position more than anywhere else in a word by a ratio of about 2:1. This finding suggests that the initial portion of a word is important for spoken word recognition in the following way: If a listener misperceives the initial portion of a word, an incorrect lexical candidate will be retrieved because the set of possible lexical candidates is based on incorrect information about the beginning of the word. The resulting and erroneous lexical selection made by the listener will also be recorded in a corpus of slips of the ear (if a particular psycholinguist is in earshot). Because a listener will most likely have correctly recognized a word before the entire word has been heard (Grosjean, 1980), any incorrect perception of phonemes near the end of the word will have relatively inconsequential effects on the correct recognition of the word. With the correct recognition of the word (despite the misperception of phonemes near the end of the word) there is no "slip of the ear" to observe. Together these naturalistic and experimental findings suggest that the initial part of a word is important for quickly and accurately recognizing a spoken word.

1.3 The present Slip of the Ear analysis

Experimental work has shown that several other factors influence the speed and accuracy of spoken word recognition, including the frequency with which a word occurs in the language (word-frequency), the number of words, or neighbors, that sound similar to that word (neighborhood density), and the mean word-frequency of those neighbors (neighborhood frequency). The influence of word-frequency on spoken word recognition was demonstrated experimentally some time ago (Brown & Rubenstein, 1961). In a
variety of laboratory tasks, it has been shown that common words in the language are recognized more quickly and accurately than rare words in the language.

Work by Luce and colleagues (e.g., Luce & Pisoni, 1998; Luce, Goldinger, Auer, & Vitevitch, 2000; Luce, Pisoni, & Goldinger, 1990) shows that neighborhood density and neighborhood frequency also influence spoken word recognition. Specifically, words that activate few neighbors (i.e., a sparse neighborhood) are recognized more quickly and accurately than words that activate many neighbors (i.e., a dense neighborhood). Words with neighbors that are low in frequency (i.e., low neighborhood frequency) are recognized more quickly and accurately than words with neighbors that are high in frequency (i.e., high neighborhood frequency). These factors have been found to influence word recognition in a number of laboratory tasks including perceptual identification, auditory shadowing, and lexical decision (Luce & Pisoni, 1998). These factors also influence word recognition in many different listener populations, including normal hearing adults (Luce & Pisoni, 1998), elderly adults (Sommers, 1996; Sommers & Danielson, 1999) and adults with cochlear implants (Kirk, Pisoni, & Miyamoto, 1997).

Although the variables of word-frequency, neighborhood density, and neighborhood frequency have received much experimental attention, little work has examined how these factors may influence the perception of words under naturalistic conditions outside of the laboratory. Can complementary and converging evidence for the influence of word-frequency, neighborhood density and neighborhood frequency on spoken word recognition be found in a corpus of naturally collected slips of the ear? To answer this question, an analysis of the corpus of slips of the ear found in Appendix B of Bond (1999) was conducted. This corpus contains almost 900 tokens of misperceptions that were collected over a period of several years (see Chap. 1 of Bond, 1999, for details of how the corpus was compiled).

As demonstrated in the laboratory, words with low word-frequency, dense neighborhoods, and high neighborhood frequency are perceived more slowly and less accurately than words with high word-frequency, sparse neighborhoods, and low neighborhood frequency (Luce & Pisoni, 1998). The present examination of a corpus of errors (Bond, 1999) used two different types of analyses to examine the slips of the ear. These analyses paralleled those previously used to examine the speech production error known as a malapropism (Vitevitch, 1997). In the first analysis, the lexical characteristics of the actual utterances were compared to the lexical characteristics of the misperceived utterances. It was predicted that the words that were misperceived would have higher word-frequency, sparser neighborhoods, and lower neighborhood frequency than the actual word that was produced. That is, the lexical characteristics of the actual utterance make that item particularly difficult to perceive. Instead, what will be (mis-) perceived is a lexical item with characteristics that make it more easily retrieved than the target word.

In the second analysis, the values for the actual utterances and the erroneous perceptions were compared to the word frequency, neighborhood density, and neighborhood frequency values of words in general (i.e., words randomly selected from the lexicon) to further examine the influence of these variables on the recognition of spoken words in a naturalistic setting. In this analysis it was predicted that the slip of the ear tokens (i.e., the actual and misperceived utterances) would have lower word-frequency, denser neighborhoods, and higher neighborhood frequency than words in general. That
is, the words that are involved in slips of the ear have lexical characteristics that make them more difficult to perceive than words in general. The outcome of these two analyses could demonstrate that the experimental data are ecologically valid and further guide the development of models of spoken word recognition.

2 Method for lexical analyses

The Bond (1999) corpus contains misperceptions reported by children (106 tokens) and adults (784 tokens). Only adult misperceptions were analyzed because of the relatively small number of children's misperceptions, the limited information in Bond (1999) regarding the age ranges of the children, and the limited availability of word frequency counts for children at various ages. Furthermore, only misperceptions that Bond classified as misperceptions of vowels or of consonants were examined (decreasing the number of tokens that could be analyzed to 241). The misperceptions that were not included in the present analysis, which Bond classified as "complex errors" or errors with "extensive mismatch between utterance and perception," contained errors that often involved the incorrect parsing of adjacent words. Incorrectly parsing adjacent words sometimes resulted in rather large discrepancies in the number of words in the actual and perceived utterances, making a comparison of the lexical characteristics difficult.

Finally, tokens were only analyzed if the words in the actual and perceived utterance pair were both found in the computerized database. If lexical characteristics could not be found for the words in either the actual or perceived utterance, that slip of the ear token was not included in the analysis. Items that were not found in the computerized database could be generally classified as proper nouns, foreign words or phrases, acronyms or auditory spellings, and domain-specific technical terms. Examples from the Bond (1999) corpus that were and were not included in the analysis are listed in Table 1. A total of 88 auditory misperception tokens (consisting of the actual and perceived utterance) were subsequently analyzed (and are listed in Appendix A).

In addition to assessing word-frequency, neighborhood density and neighborhood frequency in the actual and perceived utterances, the number of phonemes, the number of syllables, and familiarity ratings for the words were also analyzed. Word familiarity ratings were based on a seven-point scale. A rating of 1 corresponded to an item that was unfamiliar and whose meaning was unknown, whereas a rating of 7 corresponding to an item that was very familiar and whose meaning was well known (Nusbaum, Pisoni, & Davis, 1984). Word frequency was measured by using log-transformed values of the Kučera and Francis (1967) word counts.

Neighborhood density, or the number of similar lexical items for each word, was estimated with a simple computational metric. Using the computational metric, neighborhood density is estimated by counting the number of words formed by the substitution, addition or deletion of a single phoneme into any position of a target word (Landauer & Streeter, 1973; Luce & Pisoni, 1998). If a real word is formed, that word is considered a neighbor of the target word. For example, in the target word /sæd/ (sad), the substitution of a single phoneme will form the neighbors /bæd/ (bad), /sid/ (seed), and /sæk/ (sack). Note there are more neighbors for the word sad, but only a few are listed for illustrative purposes. A word that has many neighbors formed by the substitution,
TABLE 1
Examples of tokens that were and were not analysed

<table>
<thead>
<tr>
<th>Included in analysis:</th>
<th>Misperception of vowel</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>stir this store this</td>
</tr>
<tr>
<td></td>
<td>Misperception of consonant</td>
</tr>
<tr>
<td></td>
<td>it looks like it’s carved of teak teeth</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Not included in analysis:</th>
<th>Complex errors</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>a purse and a billfold a personal billfold</td>
</tr>
<tr>
<td></td>
<td>Extensive mismatch between utterance and perception</td>
</tr>
<tr>
<td></td>
<td>A linguini is a noodle A lean Wheatie is a noodle</td>
</tr>
<tr>
<td></td>
<td>Proper nouns</td>
</tr>
<tr>
<td></td>
<td>I just talked to her and saw Maria Marina</td>
</tr>
<tr>
<td></td>
<td>Foreign words or phrases</td>
</tr>
<tr>
<td></td>
<td>Kamasutra Karmasutra</td>
</tr>
<tr>
<td></td>
<td>Wie geht’s i gates</td>
</tr>
<tr>
<td></td>
<td>Acronyms or auditory spellings</td>
</tr>
<tr>
<td></td>
<td>He’s got a CB too CV</td>
</tr>
<tr>
<td></td>
<td>It’s D R O I N O B R O I N O</td>
</tr>
<tr>
<td></td>
<td>Domain-specific technical terms</td>
</tr>
<tr>
<td></td>
<td>He’s going to write a paper on tonology tenology</td>
</tr>
</tbody>
</table>

Note: Examples are derived from Appendix B of Bond (1999)

addition, or deletion of a single phoneme is said to have a dense neighborhood, whereas a word that has few neighbors formed in this manner is said to have a sparse neighborhood. Neighborhood frequency was assessed by taking the mean word frequency (based on log-transformed values of the Kučera & Francis, 1967, word counts) of the items that were considered neighbors via the computational metric described above.

All of the lexical characteristics (familiarity, frequency, density, and neighborhood frequency) were assessed using a database containing computer readable transcriptions of approximately 20,000 words from Webster’s Pocket Dictionary (see Luce & Pisoni, 1998). This database is accessible via the Internet on a website maintained by the Speech and Hearing Laboratory (directed by Mitch Sommers, Ph.D.) in the Psychology department of Washington University (<http://128.252.27.74/neighborhood/Home.asp>).

To compare the actual and perceived utterances to words in general, 10 samples of 88 words were randomly drawn from the computerized lexicon. (See Vitevitch, 1997 for a similar analysis of malapropisms.) In a given sample, words were drawn without replacement, but after a sample of 88 words had been drawn, those words were replaced and eligible to be drawn in the next sample. To ensure an equitable comparison between words in general and the slip of the ear tokens with regards to word frequency, neighborhood density, and neighborhood frequency several constraints were placed on the
sampling procedure. First, because the slips of the ear tokens involved only content words, only content words were sampled from the computerized lexicon.

Second, given the relationship between word length and word frequency (Zipf, 1935) comparing the lexical characteristics of words that were of different length would not be a fair comparison. Therefore, words randomly drawn from the database were included in the sample for further analysis if they were approximately equal in length (as measured by the number of syllables and the number of phonemes) to the slip of the ear tokens. Independent-ANOVAs with number of syllables and number of phonemes as the dependent variables confirmed that there was no statistical difference in word length between the actual and perceived utterances, and the randomly drawn samples of words, $F(11,1044) < 1$ for both dependent variables.

Finally, the randomly sampled words were as well known as the words in the actual and perceived utterances. That is, the word familiarity values were equated. Independent-ANOVAs confirmed that there was no statistically significant difference in word familiarity ratings between the actual and perceived utterances, and the randomly drawn samples of words, $F(11,1044) < 1$. Together, these results suggest that the sampling procedure did indeed select words that were comparable in word class, word length, and familiarity, allowing for an equitable comparison between words in general and the slip of the ear tokens with regard to word frequency, neighborhood density, and neighborhood frequency. Thus, any influence of long, relatively unfamiliar words with idiosyncratic lexical characteristics on the differences between the slip of the ear tokens and the randomly drawn samples of words in word frequency, neighborhood density, and neighborhood frequency should be greatly attenuated.

3 Results

3.1 Comparing the actual utterance to the perceived utterance

The lexical characteristics of 88 slip of the ear tokens (actual and perceived utterance pairs) were assessed. A comparison of the actual utterance to the perceived utterance was carried out with separate independent-ANOVAs using number of syllables, number of phonemes, familiarity, word frequency, neighborhood density, and neighborhood frequency as the dependent variables. For all of the dependent measures, no significant differences were found between the actual and the perceived utterances, all $F$'s $(1,174) < 1$. The mean values for each dependent measure for the actual and the perceived utterances are listed in the two left-most columns of Table 2.

3.2 Comparing the actual and perceived utterances to words in general

For word frequency, an omnibus-ANOVA showed a significant difference among the sets of words, $F(11,044) = 10.12, p < .001$. Post hoc analyses using weighted means comparisons (the actual and perceived utterances — recall the first analysis showed that these conditions were statistically equivalent — vs. the 10 random samples) confirmed that slip-of-the-ear tokens were more common in the language than words in general, $F(1,044) = 102.79, p < .001$. Finally, a post hoc weighted means comparison between

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TABLE 2

Mean values for the actual and perceived utterances and the 10 random samples of words

<table>
<thead>
<tr>
<th></th>
<th>Act.</th>
<th>Per.</th>
<th>R1</th>
<th>R2</th>
<th>R3</th>
<th>R4</th>
<th>R5</th>
<th>R6</th>
<th>R7</th>
<th>R8</th>
<th>R9</th>
<th>R10</th>
</tr>
</thead>
<tbody>
<tr>
<td>Phonemes</td>
<td>3.56</td>
<td>3.52</td>
<td>3.68</td>
<td>3.60</td>
<td>3.64</td>
<td>3.77</td>
<td>3.64</td>
<td>3.68</td>
<td>3.78</td>
<td>3.65</td>
<td>3.69</td>
<td>3.69</td>
</tr>
<tr>
<td>Syllables</td>
<td>1.20</td>
<td>1.21</td>
<td>1.29</td>
<td>1.33</td>
<td>1.39</td>
<td>1.37</td>
<td>1.36</td>
<td>1.35</td>
<td>1.21</td>
<td>1.33</td>
<td>1.33</td>
<td>1.35</td>
</tr>
<tr>
<td>Familiarity</td>
<td>6.84</td>
<td>6.84</td>
<td>6.80</td>
<td>6.82</td>
<td>6.84</td>
<td>6.85</td>
<td>6.83</td>
<td>6.80</td>
<td>6.82</td>
<td>6.88</td>
<td>6.87</td>
<td>6.86</td>
</tr>
<tr>
<td>Frequency</td>
<td>1.58</td>
<td>1.68</td>
<td>.84</td>
<td>.94</td>
<td>.99</td>
<td>.94</td>
<td>.90</td>
<td>1.06</td>
<td>.93</td>
<td>1.10</td>
<td>1.03</td>
<td>.91</td>
</tr>
<tr>
<td>Density</td>
<td>12.3</td>
<td>13.1</td>
<td>10.6</td>
<td>10.4</td>
<td>9.7</td>
<td>9.4</td>
<td>10.6</td>
<td>10.1</td>
<td>10.9</td>
<td>10.8</td>
<td>10.3</td>
<td>10.1</td>
</tr>
<tr>
<td>NHF</td>
<td>1.22</td>
<td>1.28</td>
<td>.85</td>
<td>.84</td>
<td>.78</td>
<td>.68</td>
<td>.85</td>
<td>.81</td>
<td>.86</td>
<td>.85</td>
<td>.81</td>
<td>.71</td>
</tr>
</tbody>
</table>

Notes: Act. = Actual utterance. Per. = Perceived utterance. R = Random sample of comparable words. Phonemes = length of the word in number of phonemes. Syllables = length of the word in number of syllables. NHF = neighborhood frequency. Frequency and NHF are in log_{10} values. For the random samples, the number of phonemes, the number of syllables, and the familiarity ratings were equated to those values for the actual and perceived utterances; these values are provided only for comparison.

The slip of the ear tokens and the two sample means from the randomly sampled words that were closest to the word frequency values for the slip of the ear tokens (in this case random samples R6 and R8) showed a significant difference between these two groups of means, $F(1, 044)=44.31, p<.001$, suggesting that the difference in word frequency between the slip of the ear tokens and the randomly selected words in general was not due to an unbalanced weighting scheme in the previous analysis. The mean frequency values for the actual utterance, the perceived utterance, and the 10 random samples of comparable words are presented in Table 2. Note that the finding that slip-of-the-ear tokens are more common in the language than words in general contrasts with the prediction derived from laboratory-based research (e.g., Brown & Rubenstein, 1961). This contradictory result will be explored in greater depth in the experiments that follow.

For neighborhood density, an omnibus-ANOVA showed a significant difference among the sets of words, $F(11, 044)=2.01, p<.05$. Post hoc analyses using weighted means comparisons (the actual and perceived utterances vs. the 10 random samples) confirmed that slip-of-the-ear tokens had denser neighborhoods than words in general, $F(1, 044)=17.38, p<.001$. Another post hoc weighted means comparison between the slip of the ear tokens and the two sample means from the randomly sampled words that were closest to the density values for the slip of the ear tokens (in this case random samples R7 and R8) again showed a significant difference between those two groups of means, $F(1, 044)=6.06, p<.05$. As predicted from laboratory studies (e.g., Luce & Pisoni, 1998), words that were misperceived had denser neighborhoods than words in general. That is, the words that were involved in a slip of the ear tended to have more similar sounding words, or neighbors, than words randomly selected from the lexicon. As suggested by Luce and Pisoni (1998) a word with many neighbors is subjected to more competition from neighboring lexical items than a word with few neighbors, accounting for the lower probability that a word in a dense neighborhood will be quickly or correctly selected from the lexicon. The mean neighborhood density values for the actual utterance, the perceived utterance, and the 10 samples of words are presented in Table 2.
Finally, for neighborhood frequency an omnibus-ANOVA showed a significant difference among the sets of words, \( F(11, 044) = 13.16, p < .001 \). Post hoc analyses using weighted means comparisons (the actual and perceived utterances vs. the 10 random samples) confirmed that slip-of-the-ear tokens had higher neighborhood frequency than words in general, \( F(1, 044) = 130.65, p < .001 \). A post hoc weighted means comparison between the slip of the ear tokens and the two sample means from the randomly sampled words that were closest to the neighborhood frequency values for the slip of the ear tokens (in this case random samples R7 and R8) again showed a significant difference between those two groups of means, \( F(1, 044) = 61.56, p < .001 \). As predicted from the laboratory data (Luce & Pisoni, 1998), words that are likely to be misperceived have higher neighborhood frequency than words in general. Said another way, a word that has neighbors that are low in frequency will more likely be recognized quickly and correctly than a word that has neighbors that are high in frequency. The mean neighborhood frequency values for the actual utterance, the perceived utterance, and the 10 random samples of comparable words are presented in Table 2.

### 4 Discussion

To obtain a more complete (i.e., ecologically valid) understanding of the processes and representations involved in spoken word recognition, two analyses of naturally occurring slips of the ear were performed using tokens from the Bond (1999) corpus. The first analysis compared the number of syllables, number of phonemes, familiarity, word frequency, neighborhood density, and neighborhood frequency values of the actual and (mis-) perceived utterances. It was predicted that the words that were misperceived would have higher word-frequency, sparser neighborhoods, and lower neighborhood frequency than the actual word that was produced. However, for all of the dependent measures, no statistically significant differences were found between the actual and perceived utterances. Several other studies that used either a larger number of errors resulting in more statistical power (Cutler & Butterfield, 1992) or different statistics with different distributional assumptions (Bond, 1999) have similarly found no difference between the actual and perceived utterances with regards to word-frequency. The fact that similar (null) results have been found in analyses with more errors and different statistics weakens claims that a statistical artifact may account for this somewhat counterintuitive finding in the present analysis.

Given the repeated failure to find a significant difference in word frequency between the actual and misperceived utterances and a similar failure to find a significant difference in a number of other variables (neighborhood density, neighborhood frequency, familiarity, and word length), perhaps a general design characteristic of the language processing system rather than a statistical artifact is responsible for these results. One general design characteristic of cognitive systems that may account for the lack of a significant difference between the actual and perceived utterances is the processing principle of graceful degradation. Graceful degradation is the ability of a processing system to not catastrophically halt processing when given incomplete or incorrect information, but to retrieve the representation that “best matches” the input (McClelland, Rumelhart, & Hinton, 1986).

By way of illustration, consider the example of quickly looking at the food displayed
on a picnic table. In looking at the display quickly, the visual input contains incomplete information. If one were to see a red, somewhat-spherical object about the size of one's fist sitting on the table one might "perceive" what is actually an apple to be a tomato. Note that tomatoes and apples have several similar characteristics or features, such as size, shape, color, appropriateness as picnic-fare, and so forth. Also note that one most likely would not misperceive the red, somewhat-spherical object about the size of one's fist to be a watermelon. Although a watermelon is considered appropriate picnic-fare, there is not enough similarity between the incomplete input and the stored representation of a watermelon (with regard to size, shape, color, etc.) for the representation of a watermelon to be sufficiently activated and erroneously retrieved or perceived.

Now consider graceful degradation in the context of words or lexical representations. One might mistake the incomplete input (due to noise or inattention) of /æt/ in a discussion of animals to be the word 'rat' rather than the uttered word 'cat'. Note that "cat" and "rat" have several features that are quite similar, such as syllable and phoneme length, phonological segments, frequency of occurrence and familiarity values, and so forth. Further note that one most likely would not mistake "cat" for "pterodactyl," (even though it too is an animal) because there is not enough similarity between the two lexical representations (with regard to word length, phonology, neighborhood density, etc.) for "pterodactyl" to be sufficiently activated and erroneously retrieved or perceived. Viewed in terms of graceful degradation, the lack of a significant difference in all of the dependent variables between the actual and the perceived utterances is not surprising at all. Recall that a processing system that gracefully degrades selects the representation that best matches incomplete or erroneous input rather than catastrophically halting. "Best match" may be defined by any or all of the lexical variables, hence the similarity between actual and misperceived utterances on all of the lexical dimensions examined. In the context of general processing principles such as graceful degradation, the lack of a significant difference in all of the dependent variables between the actual and the perceived utterances is actually to be expected.

A second analysis of naturally occurring slips of the ear compared the lexical characteristics of those tokens to a comparable set of items (with regard to word class, length, and familiarity) that were randomly selected from the lexicon. In this analysis it was predicted that slip of the ear tokens would be lower in word frequency, have denser neighborhoods, and higher neighborhood frequency than words randomly sampled from the lexicon.

The predictions for neighborhood density and neighborhood frequency were supported by the results of the analyses. In general, the utterances that were misperceived tended to contain words that had more phonological neighbors (i.e., dense neighborhoods) and neighbors that were higher in frequency (i.e., high neighborhood frequency) than words of comparable length and familiarity that were randomly selected from the lexicon. The results of the present slip of the ear analysis regarding neighborhood density and neighborhood frequency generalize the influence of these lexical characteristics on spoken word recognition previously obtained only under laboratory conditions. That is, neighborhood density and neighborhood frequency influence the recognition of words in natural, casual conversation as well as the recognition of words in laboratory tasks.
In contrast, the results for word frequency were in the opposite direction of that
which was predicted. Based on previous research (e.g., Brown & Rubenstein, 1961), it
was predicted that slip of the ear tokens would be lower in word frequency than words
randomly sampled from the lexicon. The results of the present analysis showed that slip
of the ear tokens were actually higher in word frequency than words randomly sampled
from the lexicon. Not only is this finding inconsistent with previous laboratory-based
research on spoken word recognition, but it also is inconsistent with a comparison of
Stemberger found that in almost all cases (there were a few cases in which there were
null results in one task, but significant differences in the other) the pattern found in
naturalistically collected errors was similar to the pattern found in experimentally elicited
errors, differing only in magnitude. Stemberger further demonstrated that in most cases
those differences in magnitude were due to task differences. In no case that Stemberger
examined was the pattern obtained from naturalistically collected errors the opposite
of the pattern obtained from experimentally elicited errors, making the present finding
regarding word frequency puzzling indeed.

A simple solution to this puzzling and counterintuitive finding would be to hypo-
thesize that there was a perceptual bias that influenced the collection of the slips of the
ear. Unfortunately there is little evidence to support this hypothesis. Bond (1999; p. 2)
states that “[t]he corpus of perceptual errors undoubtedly leans toward the more obvious
or noticeable, errors which were significant enough to make listeners puzzled about
what they heard. The errors which were reported are also probably the most memorable.”
But, what makes an error obvious, noticeable, or memorable? Consider the work of
Mandler, Goodman, and Wilkes-Gibbs (1982) who showed that high frequency words
are more accurately recalled than low frequency words. If one is not able to immedi-
ately record a misperception at the time of observation (i.e., pen and paper are not in
hand), one might expect that the error corpus may contain more errors for high frequency
words, which are more “memorable” or more readily recalled at a later time, than errors
for low frequency words. Alternatively, a word with low frequency of occurrence (i.e.,
a lexical isolate) may seem more obvious or noticeable than a word with a higher
frequency of occurrence. This linguistic example of the von Restorff effect suggests
that errors for low frequency words should be more “memorable” than errors for high
frequency words and, therefore, low frequency words should be over-represented in the
corpus. If one is to hypothesize that a perceptual bias is the cause of the contradictory
word frequency effect, then one must also account for why one type of bias is system-
atically favored over the other.

Furthermore, if a perceptual bias was operating to influence and reverse the robust
and ubiquitous effect of word frequency typically found in experimental studies of
spoken word recognition, why didn’t the same perceptual bias also reverse the neigh-
borhood density and neighborhood frequency effects observed in the present analysis?
(See Goh & Pisoni, in press, and Roederns, Hulme, Lethbridge, Hinton, Nimmo, 2002,
for evidence that neighborhood density affects STM.) Finally, the work of Stemberger
(1992) and Voss (1984) found high rates of correspondence for error elicitation/detection
results obtained under naturalistic and laboratory conditions, with differences
being found only in magnitude not direction, providing even less support for the hypoth-
esis that listener bias accounts for the opposite effect of frequency observed in the

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present investigation of an error corpus. Instead, the fact that the present analysis found,
not a difference in magnitude, but a complete reversal of the robust and ubiquitous
word frequency effect demands further investigation.

One possible explanation for the reversal in word frequency observed in the
present analysis relates to the types of discursive repair initiated by the listener when
a message is not clearly received (e.g., Gagne, Stelmacovich, & Yvetich, 1991; Tye-
Murray, 1991; Tye-Murray, Knutson, & Lemke, 1993; Tye-Murray & Witt, 1997;
Tye-Murray, Witt, & Castelloe, 1996). It is possible that listeners may use different
strategies to clarify a partially perceived message depending on the frequency of occur-
rence of the word when comprehension breaks down. For example, when a low frequency
word is not clearly perceived, the listener may request a repetition of the utterance
because not enough information was received to even partially activate lexical candi-
dates. However, when a high frequency word is not clearly perceived, the listener may
have received enough information to partially activate several lexical candidates (though
perhaps not the right one). The listener may then select a lexical candidate that is “close
enough” to the incomplete information received as input (see the earlier discussion of
graceful degradation) resulting in a slip of the ear that is later clarified and recorded
in a corpus. Low frequency words may indeed be occasionally misperceived in conver-
sation, but these misperceptions may not be recorded in a corpus of slips of the ear
because such misperceptions may be resolved in a different way (i.e., a request to repeat
the utterance) than the misperception of a high-frequency word (i.e., process the repre-
sentation that best matches the incomplete input). Such differences in how listeners
respond to high and low frequency words that have been incompletely perceived may
have been overlooked in the laboratory because many experimental tasks, such as the
lexical decision task, do not have an option to repeat a stimulus item (or some other
analog of common conversational repair strategies). Unfortunately, to the best of my
knowledge, there is no empirical evidence that even suggests that different conversa-
tional repair strategies may be used as a function of word frequency, so this hypothesis
is speculative at best.

Another possible explanation for why slips of the ear were higher rather than
lower in word frequency compared to words in general—one that is partially supported
by previous research and will be further pursued in the experiments that follow—relates
to the way that high and low frequency words are produced. Wright (1979) found that
speakers in several laboratory tasks—including reading a list of words, repeating a
short list that was memorized, and reading words embedded in short contexts (e.g., “to
___ at” or “go ___ stop”)—produced low frequency words approximately 24% slower
than high frequency words (measuring both word and segment durations). Other research
has shown that words spoken at a faster rate of speech are recognized less accurately
than words spoken at a slower rate of speech (cf., Bradlow, Torretta, & Pisoni, 1996;
Kirk et al., 1997). If high frequency words are spoken at faster rates than low frequency
words (Wright, 1979), and words spoken at faster rates of speech are recognized less accu-
ately than words spoken at slower rates (Kirk et al., 1997), then the combination of these
findings suggests that high frequency (i.e., faster) words should indeed be recognized
less accurately than low frequency (i.e., slower) words; a pattern similar to that observed
in the present analysis of slips of the ear.

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The conclusion that high frequency words should be recognized less accurately than low frequency words, like the results of the present analysis, contradicts several decades worth of laboratory demonstrations of the word frequency effect (e.g., Brown & Rubenstein, 1961). Note, however, that in laboratory studies of speech perception and word recognition, the duration with which the stimuli are produced is commonly held constant across conditions. Thus, a higher rate of incorrectly perceived high frequency words might be observed in naturalistic speech where differences in speaking rate for high and low frequency words might occur, but not in the laboratory where stimulus duration is held constant across conditions. The experiments that follow will more directly explore the differences in word frequency and word duration to provide evidence that may account for the counterintuitive finding regarding word frequency obtained in the present analysis of slips of the ear.

5 Experiment 1

Cutler and Butterfield (1992) described several ways that misperceptions could be induced in the laboratory including filtering or noise-masking the speech signal. Because these techniques do not affect all speech sounds equally, distorting some speech sounds more than others (e.g., Miller & Nicely, 1955), Cutler and Butterfield decided to use faint speech (i.e., speech presented at a level which allowed participants to hear about 50% of the presented input). Although each of these techniques may be used effectively to elicit misperceptions in the laboratory, they may not necessarily mimic the conditions under which the naturally observed slips of the ear were obtained. (Note, Bond, 1999, did not include descriptions of the ambient environment for each slip of the ear; e.g., occurred along a busy street, heard over a cell-phone, etc.) Furthermore, techniques to elicit misperceptions that rely on distorting the signal with noise would not adequately address the hypothesis currently being investigated: High frequency words with short durations will be recognized less accurately than low frequency words with long durations. Fortunately, Cutler and Butterfield (1992; p. 234) alluded to an experiment that used time-compressed speech to elicit misperceptions; a technique that seems ideally suited to evaluating durational influences on perception as a function of word frequency.

Digitally compressing the stimulus words by 25% to simulate the duration differences observed by Wright (1979) proved useful for two reasons. First, digital compression allowed for very precise control over the duration of all of the words. Repeated attempts by the author to produce natural versions of the words spoken slowly and rapidly were unsuccessful at reaching the 24–25% difference for all of the words. Interestingly Wright (1979) also observed variability in the duration of individual words varying in frequency of occurrence; some rare words (e.g., ‘clef’) had consistently shorter durations than some common words (e.g., ‘song’). By using digital compression, the duration of every word could be reduced by 25%. Had naturally produced tokens been used, it would not have been clear whether the duration of every word was 25% shorter or if the 25% difference was the result of a statistical artifact (e.g., some stimuli spoken very, very quickly and some stimuli spoken very, very slowly, when averaged together may show a 25% difference).

Second, and perhaps most importantly, digital compression enabled the duration
of each word to be decreased without significantly decreasing the intelligibility of each word. Naturally produced changes in speaking rate result in a number of phonetic changes, such as vowel reduction, that occur in order to produce a word more quickly (e.g., Bond & Moore, 1994; Lieberman, 1963; Moon & Lindblom, 1994; Picheny, Durlach, & Braid, 1986; van Bergem, 1995). An example of vowel reduction and the potential decrease in intelligibility that may result from it can be seen in the words ‘cat’ (/kæt/) and ‘cot’ (/kɔt/). If the full vowels in these two words are reduced to a schwa, the nondistinct form /kæt/ would result. Although /kæt/ might be correctly recognized as either “cat” or “cot” in fluent conversational speech with the assistance of contextual cues, there is a significantly decreased likelihood that /kæt/ would be accurately recognized if it were edited from that context and presented in isolation (e.g., Bard & Anderson, 1994; Drager & Reichle, 2001; Fowler & Housum, 1987). Furthermore, Haan (1977) found that compressed speech was actually more intelligible than speeded speech, providing additional motivation to use digitally compressed rather than naturally produced tokens. By using digital compression the duration of all of the stimuli could be uniformly decreased without decreasing their intelligibility (relative to naturally produced versions of the same words at a faster speaking rate), thereby attenuating the potential confound between word duration and intelligibility that would occur with naturally produced tokens.

In the present experiment, an identification task was used; participants heard a word presented in the clear over a set of headphones and had to type on a computer keyboard the word that they heard. Note that no noise of any kind (e.g., white, pink, bit-flipped, envelope modulated, etc.) was added to the signal as is traditionally done in perceptual identification tasks (e.g., Brown & Rubenstein, 1961). Although still a somewhat unnatural task, the identification task more closely approximates what people do in natural discourse (i.e., hear speech sounds and map them onto a word-form stored in the lexicon) than many other traditional laboratory tasks such as the lexical decision task (i.e., hear a sound and decide if those sounds are in your lexicon; i.e., decide if it is a real word in English or not).

Half of the words participants heard had a high frequency of occurrence, and the rest of the words had a low frequency of occurrence. Half of the high frequency words were presented in their originally recorded form (referred to as “slow”) and half of the high frequency words were presented in their digitally modified form (referred to as “fast”). The same manipulation was made for the low frequency words. It was predicted that a main effect of word frequency would be observed such that high frequency words would be more accurately identified than low frequency words (e.g., Brown & Rubenstein, 1961). A main effect of word duration was also predicted such that slow-words would be more accurately identified than fast-words (Kirk et al., 1997).

Most important for demonstrating that the counterintuitive frequency effect observed in the present analysis of slips of the ear is, in fact, not counterintuitive is the prediction that slow-low frequency words would be more accurately identified than fast-high frequency words. (Note, the difference between these two conditions may occur whether word frequency and duration interact or not.) Recall Wright (1979) found that high frequency words tend to have shorter durations than low frequency words and Kirk et al. (1997) found that words produced at a faster rate (i.e., shorter duration)
were recognized less accurately than words produced at a slower rate (i.e., longer duration). If the results of both of these laboratory-based studies co-occur in natural contexts, then one would predict that slow-low frequency words would be more accurately identified than fast-high frequency words, suggesting that the word frequency effect observed in the present slip of the ear analysis is not counterintuitive, but should in fact be expected. Given that fast-low frequency words and slow-high frequency words rarely occur (Wright, 1979), and that differences in these conditions would neither support nor detract from the hypothesis being investigated, no predictions were postulated for the remaining conditions.

5.1 Method

Participants: Twelve students from the Introductory Psychology pool of research participants at the University of Kansas took part in the experiment in exchange for partial course credit. All the participants were native speakers of English, and reported no history of speech or hearing disorders.

Materials: A set of 60 English words varying in frequency of occurrence as measured by log-transformed values of the Kučera & Francis (1967) word counts was used in this experiment (and are listed in Appendix B). Words classified as high frequency items had a mean log-frequency of occurrence of 1.58, whereas words classified as low frequency items had a mean log-frequency of occurrence of 0.57, F(1, 58) = 92.65, p < .001. Familiarity, neighborhood density, and neighborhood frequency were calculated using the same database as that used in the analysis of the slip of the ear tokens. The high frequency words had a mean familiarity of 6.9, a mean neighborhood density of 20 neighbors, a mean neighborhood frequency of .9, a mean phoneme frequency of .148, and a mean biphone frequency of .005. (As in Vitevitch & Luce, 1998; 1999 phoneme and biphone frequency constituted the two measures used to assess phonotactic probability.) The low frequency words had a mean familiarity of 6.9, a mean neighborhood density of 19 neighbors, a mean neighborhood frequency of 1.0, a mean phoneme frequency of .143, and a mean biphone frequency of .005. There were no significant differences for any of these variables between the two conditions, all F(1, 58) < 1.

Furthermore, the same number of words in each condition had the same initial phonemes (3 words in each condition started with each of the following phonemes b, d, f, k, l, p, r, s, t, w/). All of the stimuli were spoken in isolation and recorded by the author in an IAC sound attenuated booth using a high-quality microphone. The stimuli were digitized at a sampling rate of 20kHz using a 16-bit analog-to-digital converter. All words were edited into individual digital files, leveled at 70 db SPL, and stored on computer disc for later playback. The durations of the naturally produced tokens were equivalent between the two conditions, F(1, 58) < 1. High frequency words had a mean duration of 704 ms, and low frequency words had a mean duration of 718 ms.

Copies of the sound files were modified with the Tempo function in Sound Edit 16 (Macromedia, Inc.) to digitally decrease the duration of each stimulus item by 25%. Although the overall duration of the tokens was decreased, the pitch of each stimulus file was not altered. More precisely, the Tempo function corrects for any changes in pitch that typically accompany changes in duration. Thus, there was the original set
of 60 words with the durations they were recorded at, and a set of the same 60 words that had their duration digitally altered. Further note that this was the only change that was made to the stimuli. No noise of any kind (e.g., white, pink, bit-flipped, envelope modulated, etc.) was added to the signal; that is, all words were presented “in the clear.”

Two counterbalanced lists each containing 60 words were prepared. Each list had 15 high frequency and 15 low frequency words recorded at the original duration (i.e., slow), and 15 high frequency and 15 low frequency words that had the duration digitally altered (i.e., fast). The second list contained the same words as the first list, but had the opposite duration of that word on the first list.

Procedure: Participants were seated in front of an iMac running PsyScope 1.2.2 (Cohen, MacWhinney, Flatt, & Provost, 1993) that controlled stimulus randomization and presentation. A trial proceeded as follows: The word ‘READY’ appeared in the center of the computer screen for 500 ms to indicate the beginning of a trial. Participants were then presented with one of the randomly selected words at 70 dB SPL over a pair of Beyerdynamic DT-100 headphones. Participants were instructed to type, using the computer keyboard, as accurately as possible the word that they heard over the headphones. Responses appeared on the screen as they were being typed allowing participants to correct any errors before pressing the “enter” or “return” key and initiating the next trial. Participants were not allowed to hear any of the words a second time. Prior to the experimental trials, each participant received 10 practice trials. These trials were used to familiarize the participants with the task and were not included in the final analysis. Equal numbers of participants received only one of the counterbalanced lists.

5.2 Results and Discussion

The author scored the typed responses for accuracy. Correct responses were those typed responses that exactly matched the target word. Responses that did not exactly match the target word but were nonetheless scored as correct responses included homophone responses (e.g., “seem” for seam), incorrect/phonologic spellings (e.g., “toad” for toad or “tuff” for tough), and typographical errors. Typographical errors were defined as the insertion or substitution of a letter that was one key away from a target letter, or the deletion of target letters. For example the response “rioe” for the target word rice (i and o are neighboring keys), the response “duit” for the target word suit (d and s are neighboring keys), the response “coug” for the target word cough, and the response “pag” for the target word page were all scored as correct responses. Perceptual errors were those responses that did not match the target word and did not fit into any of the alternative categories for a correct response. An example of a perceptual error would be the response “flull” for the target word wall.

A repeated measures ANOVA was used to examine the influence of word frequency and duration on the accuracy of word identification (no difference was found between the two lists in a mixed ANOVA, $F(1,10) < 1$, so additional analyses collapsed over this between subjects factor). Because a set of highly controlled stimuli, selected to match several criteria (same initial consonant, neighborhood density value, neighborhood frequency value, etc.), that almost exhausted the pool of possible items was used in this experiment, only ANOVAs with participants as a random factor were conducted. That
is, the stimulus items were not selected randomly so ANOVAs treating stimulus items as a random variable are not appropriate (Cohen, 1976; Hino & Lupker, 2000; Keppel, 1976; Raaijmakers, Schrijnemakers, & Gremmen, 1999; Smith, 1976; Wike & Church, 1976).

The results showed a main effect of duration, $F(1, 11) = 14.44 < .01$, such that words spoken at the original duration (i.e., slow) were identified correctly more often (2.8\% error rate) than words at the digitally modified duration (i.e., fast; 8.1\% error rate), replicating the results of Kirk et al. (1977). No significant main effect of word frequency was observed in the present experiment. High frequency words = 5.8\% error rate, Low frequency words = 5.0\% error rate; $F(1, 11) < 1$. Issues related to statistical power may be responsible for the statistically nonsignificant difference. Alternatively, tasks that rely on reaction time measures, such as the lexical decision task, may be more sensitive to the time course of cognitive processes than tasks that rely on accuracy rates, such as the identification task employed in the present experiment (Levett, Roelofs, & Meyer, 1999; p. 2). Also note that the identification task used in the present experiment differed from the identification task used by Brown and Rubenstein (1961). Although they used monosyllabic words like those used in the present experiment, they embedded their words in noise. Recall that the words used in the present experiment were presented in the clear. Without stimulus degradation, performance may be at ceiling, making it difficult to observe a word frequency effect. Indeed, the worst performance in this experiment was the 8.3\% error rate for the fast-high frequency words, which is still a high level of performance; the fast-low frequency words had a 7.8\% error rate, the slow-high frequency words had a 3.3\% error rate, and the slow-low frequency words had a 2.2\% error rate.

Although there was no significant interaction between duration and word frequency, $F(1, 11) < 1$, theoretical interest warrants further analyses of the individual conditions. Recall the hypothesis for the contradictory word frequency effect observed in the analysis of slips of the ear: high frequency words with shorter durations should be identified less accurately than low frequency words with longer durations. A post hoc means comparison between the low frequency words with the original duration (slow) and the high frequency words with the digitally modified duration (fast) shows a significant difference between the two conditions, $F(1, 11) = 6.74, p < .05$, such that slow-low frequency words (2.2\% error rate) were more accurately identified than fast-high frequency words (8.3\% error rate). This result supports the hypothesis that the contradictory frequency effect observed in the corpus of naturally occurring recognition errors may be due to differences in the production of words varying in frequency of occurrence in naturalistic settings. Specifically, high frequency words may be spoken more quickly than low frequency words in naturalistic settings, accounting for the higher prevalence of high frequency words in a corpus of slips of the ear. This prediction, the results of the slip of the ear analysis, and now the results of the present experiment all run counter to the word-frequency effects typically observed in previous laboratory studies. Note, however, that typical laboratory studies use stimuli that have equivalent durations between high and low frequency conditions. The next experiment attempted to demonstrate the word-frequency effect using the same words as were used in the present study, but in a more traditional laboratory task, namely the lexical decision task, under typical laboratory conditions (i.e., the words had equal durations).
6 Experiment 2

In the present experiment, the same 60 words that were used in Experiment 1 (i.e., the original recordings) were presented to participants in a classic laboratory task under traditional laboratory conditions; a lexical decision task was used with words of equal duration. In the lexical decision task a listener is presented with either a word or nonsense word, then presses a button on a response box to indicate whether they heard a real word or a nonsense word. The lexical decision task was also selected because it allows the use of reaction times as a dependent measure. Time-based dependent measures may be more sensitive to cognitive processes than dependent measures that simply measure the end-state, such as the accuracy rates used in the identification task in Experiment 1 (Levelt et al., 1999; p.2). By replicating the word frequency effect typically found in studies of spoken word recognition with the traditional laboratory constraints imposed on the same words that produced the opposite word frequency effect observed in Experiment 1 we can provide further evidence that the differential influence of word frequency and word duration may have caused the opposite finding we observed in the analysis of naturally collected slips of the ear.

6.1 Method

Participants: Ten students from the Introductory Psychology pool of research participants at the University of Kansas took part in the experiment in exchange for partial course credit. All the participants were right handed, native speakers of English, and reported no history of speech or hearing disorders. None of the participants took part in Experiment 1.

Materials: The original recordings of the 60 real words used in Experiment 1 were also used in the present experiment. A set of 60 nonwords was created by substituting a phoneme into the last position of real words that were not part of the stimulus set. The nonwords were recorded and treated in the same way as the real words used in Experiment 1.

Procedure: Participants were seated in front of an iMac running PsyScope 1.2.2 (Cohen et al., 1993) that controlled stimulus randomization and presentation. Response latencies were collected with millisecond accuracy via a New Micros button box interfaced to the computer. The response box had the label ‘NONWORD’ on the left button and the label ‘WORD’ on the right button (under the dominant hand). A trial proceeded as follows: The word ‘READY’ appeared in the center of the computer screen for 500 ms to indicate the beginning of a trial. Participants were then presented with one of the randomly selected spoken stimuli at 70 dB SPL over a pair of Beyerdynamic DT-100 headphones. Reaction times were measured from the onset of the stimulus to the button press response. If the maximum reaction time (3 s) expired, the computer automatically recorded an incorrect response and presented the next trial. Participants were instructed to respond as quickly and as accurately as possible.

Half of the trials consisted of real words in English, half of the trials consisted of the nonwords. Prior to the experimental trials, each participant received 10 practice trials. These trials were used to familiarize the participants with the task and were not included in the final analysis.
7 Results and Discussion

A repeated measures ANOVA was used to examine the influence of word frequency independently on the mean reaction times and accuracy rates. For the accuracy rates there was no significant difference, $F(1, 9) < 1$, between high frequency (93%) and low frequency (93%) words, suggesting that there was no speed-accuracy trade-off. For the reaction times, a significant difference was found, $F(1, 9) = 16.56, p < .01$. Participants responded more quickly to high frequency words (958 ms) than low frequency words (1001 ms), demonstrating the classic word frequency effect under traditional laboratory conditions. That is, when the duration of the words is equivalent, high frequency words are responded to more quickly than low frequency words.

The same set of words was used in Experiments 1 and 2 to demonstrate the conditions that result in the traditional word frequency effect (i.e., when the words have equal durations) and the conditions that result in a reversal of the word frequency effect (i.e., when high frequency words have shorter durations than low frequency words). The results of these two experiments directly support the hypothesis that the opposite word frequency effect observed in the analysis of naturalistically collected slips of the ear may have been due to differences in the way speech is produced in naturalistic versus laboratory settings. This hypothesis was derived from previous studies that separately investigated the influence of word frequency on word duration (Wright, 1979), and of speaking rate on word recognition accuracy (Kirk et al., 1997). Although logic would suggest that the combination of these previous studies would confirm this prediction, Experiment 1 provided direct empirical evidence to support this hypothesis. These results cast further doubt on the simple hypothesis that the opposite word frequency effect obtained in the slip of the ear analysis was the result of a perceptual bias on the part of the error collector. Rather, these results suggest that there may be a “production bias” on the part of the speaker to produce high frequency words more rapidly than low frequency words, leading to specific perceptual consequences for the listener.

8 General Discussion

The present results from an analysis of naturalistically collected slips of the ear and from two laboratory-based experiments exemplify two points made by Norman (1981) in his analysis of errors in action:

The collection and analysis of naturally occurring errors forces us to consider behavior that is not constrained by the limitations and artificiality of the experimental laboratory. By examining errors, we are forced to demonstrate that our theoretical ideas can have some relevance to real behavior. (Norman, 1981; p. 13.)

The finding from the corpus analysis that slips of the ear tend to have denser neighborhoods and neighborhoods with higher frequency than words in general provides consistent and ecologically valid evidence in support of the laboratory studies that have previously demonstrated influence of neighborhood density and neighborhood frequency on spoken word recognition (e.g., Luce & Pisoni, 1998). To the best of my knowledge, the present study is the first demonstration of an influence of neighborhood density and

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neighborhood frequency on spoken word recognition in a naturalistic rather than a laboratory setting.

Norman (1981; p. 14) also stated, "... to validate what has been theoretically postulated as the cause of errors, laboratory tests are useful." The results of Experiments 1 and 2 provided direct, laboratory-based evidence for the cause of the opposite word frequency effect observed in the corpus analysis; slips of the ear tended to be higher in frequency than words in general. Wright (1979) observed that high frequency words tended to have shorter durations than low frequency words. Kirk et al. (1997) observed that words spoken at a faster rate of speech were identified less accurately than words spoken at a slower rate of speech. Experiments 1 and 2 directly demonstrated that the same words varying in word frequency had different perceptual consequences depending on whether the duration of those words varied (Experiment 1) or not (Experiment 2). Broadly speaking, the results from Experiments 1 and 2 are consistent with other research that suggests that temporal properties (i.e., rate) of speech can influence the perception of phonetic categories (e.g., Miller, Aibel, & Green, 1984; Miller & Dexter, 1988; Miller & Grosjean, 1981; Miller & Volaitis, 1989; Wayland, Miller, & Volaitis, 1994). Thus, it is not implausible that the word 'bat' may be misperceived as the word 'pat' depending on the duration with which the word is produced.

It is important to note here that the variable that was manipulated in the present experiments was the duration of the words, not the "intelligibility" of the words. Intelligibility is a psychological, subjective assessment of a spoken word based on multiple dimensions. One of those dimensions is word duration (cf. Haan, 1977), however other dimensions include vowel dispersion (Bond & Moore, 1994) and gender (Bradlow, Torretta, & Pisoni, 1996), to name only a few. Although intelligibility is often assessed with the dependent measure of accuracy (or error) rates, one may just as easily use a subjective rating scale to assess intelligibility (e.g., Clarke, 1960; De Bodt, Hernandez-Diaz-Huici, & Van De Heyning, 2002; Metz & Schiavetti, 1994; Tye-Murray, Barkmeier, & Folkins, 1991). It is important not to confuse the construct with the measurement; intelligibility does not necessarily equal accurate identification. For a simple illustration of this point one need only use any commercial speech recognition product; utterances rated as intelligible by humans may still not be correctly recognized by computer software. The opposite situation—accurate identification of stimuli that are not perfectly intelligible—is one of the hallmarks of human performance.

The results of the current studies provided important insight into the processing of spoken language, and emphasized the importance of using multiple research methods (e.g., naturalistic observation and laboratory experiments) to investigate psychological phenomena. The slip of the ear analysis and the experiments that followed join a large body of literature that suggests that frequency acts as a bias in the word recognition system (Broadbent, 1967; Catlin, 1969; Goldiamond & Hawkins, 1958; Luce & Pisoni, 1998; Newbigging, 1961, Pollack, Rubenstein, & Decker, 1960; Savin, 1963; Solomon & Postman, 1952; Triesman, 1971, 1978a, b). Ceteris paribus the word recognition system is biased to select an item that has a high frequency of occurrence, even if no stimulus is actually presented (Goldiamond & Hawkins, 1958). However, when a difference in word frequency occurs along with variation in phonological similarity (Luce & Pisoni, 1998), word duration (Wright, 1979), and so forth, the traditional processing advantage for high
frequency words may be attenuated (or indeed reversed as demonstrated in the corpus analysis and Experiment 1).

The trade-off between word frequency and word duration observed in the present study may be similar to other trade-offs observed in speech research (e.g., Denes, 1955) and in psychology in general (e.g., trade-offs between speed-and-accuracy, risks-and-gains, etc.). Models of spoken word recognition (as well as models of speech production and other cognitive processes in general) must properly account for the influence of frequency-based biases. Cognitive models that treat frequency as a static, inherent component of the activation or threshold levels of words (e.g., McClelland & Elman, 1986; cf. Luce & Pisoni, 1998) may not be able to account for the malleability of frequency effects observed in the present study. By using data obtained under naturalistic and laboratory conditions, we can better guide the development of models of spoken language processing.

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References


# Appendix A

The 88 slip of the ear tokens used in the reported analyses

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<th>Actual Utterance</th>
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Appendix B

Words varying in frequency of occurrence used in Experiments 1 and 2

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